





# Reduced-order modeling for digital twins in the process industry: application to CO<sub>2</sub> methanation reactors

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November 11, 2025

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Workshop on Reduced Order and Surrogate Modeling for Digital Twins

Institute for Mathematical and Statistical Innovation, Chicago, USA, November 10 - 14, 2025 Partners:

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**Digital Twins** provide a virtual model of a real-world object, device or process; they allow

- the simulation for analyzing behavior,
- optimizing design and control synthesis,
- surveillance and prediction,
- continuous improvement of the plant model and its controller.



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These require real-time response times!



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Smart process engineering (SmartProSys) requires digital twins of, e.g., chemical reactors.

- This involves mathematical models (mass and energy balances, reaction kinetics,...).
- For high precision, this involves accurate discretizations of systems of nonlinear coupled partial differential equations.
- Real-time demands (but also, optimization and controller design) require fast-to-evaluate surrogate models.



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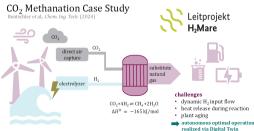
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→ MODEL REDUCTION is enabling technology!









#### What is Green Hydrogen?

- It is made from water: it is produced by splitting water into hydrogen and oxygen, and this process is called electrolysis.
- Uses clean energy: the process of electrolysis is powered by renewable energy sources like solar and wind energy.

#### How does Green Hydrogen work?

- Electrolysis: electricity from renewable sources is used to power a device (an electrolyzer).
- Collecting: the gas is then collected and can be stored or transported to where it's needed.
- Using Hydrogen: it can then be used as a fuel (the only byproduct is water) or in reaction with carbon dioxide.



#### The long-term goal and some of our works

- The goal of our project is the development of a Digital Twin for a methanation reactor.
- Such a reactor is used to convert renewable hydrogen (coming from electrolysis) to more easily-distributable methane.



#### The long-term goal and some of our works

- The goal of our project is the development of a Digital Twin for a methanation reactor.
- Such a reactor is used to convert renewable hydrogen (coming from electrolysis) to more easily-distributable methane.
- For this purpose, we study a tubular catalytic wall reactor and a semi-discretized dynamic model constructed by mass and energy balances; preliminary works are listed below ↓



Reactor for the catalytic CO2 methanation

- I. V. G., L. Peterson, P. Goyal, J. Bremer, K. Sundmacher, and P. B.: Learning reduced-order Quadratic-Linear models in Process Engineering using Operator Inference, ENUMATH 2023 Proceedings.
- L. Peterson, A. Forootani, E. Sanchez Medina, I. V. G., K. Sundamcher, and P. Benner: Towards Digital Twins for Power-to-X: Comparing Surrogate Models for a Catalytic CO<sub>2</sub> Methanation Reactor, 2024.
- L. Peterson, L., M. Büttner, A. Forootani, I. V. G., P. Benner, and K. Sundmacher: Greedy Sampling Neural Network SINDy with Control for a Catalytic CO2 Methanation Reactor, LSSC 2025 Proceedings.



#### The complex model under study

- The synthetic data are generated from a first-principles reactor model adapted to a pilot plant setting.
- Specifically, we use a one-dimensional polytropic reactor model to generate synthetic data.

#### Mechanistic Reactor Model

Zimmermann et al., Chem. Eng. J. (2022)

#### reaction rate

$$\begin{split} &\sigma_{\rm eff} = \eta \; \sigma_{\rm int} \\ &\sigma_{\rm int} = k \; p_{\rm CO_2}^{n_{\rm CO_2}} p_{\rm H_2}^{n_{\rm H_2}} \left(1 - \frac{p_{\rm CH_4} p_{\rm H_2O}^2}{K_{\rm eq} p_{\rm CO_2} p_{\rm H_2}^4}\right) \\ &k = k_{0,\rm ref} \exp\left(\frac{E_{\rm A}}{R} \left(\frac{1}{T_{\rm ref}} - \frac{1}{T}\right)\right) \end{split}$$

mass balance: 
$$\varepsilon \frac{\partial c_i}{\partial t} = -\vec{u} \cdot \nabla c_i + \nabla (D_i^{\text{eff}} \nabla c_i) + (1 - \varepsilon) \nu_i \sigma_{\text{eff}}$$
  
energy balance:  $(\rho c_p)_{\text{eff}} \frac{\partial T}{\partial t} = -(\rho c_p)_{\text{fluid}} \vec{u} \cdot \nabla T + \nabla \cdot (\Lambda_{\text{eff}} \nabla T) + (1 - \varepsilon)(-\Delta H_R) \sigma_{\text{eff}}$ 

#### assumptions and details

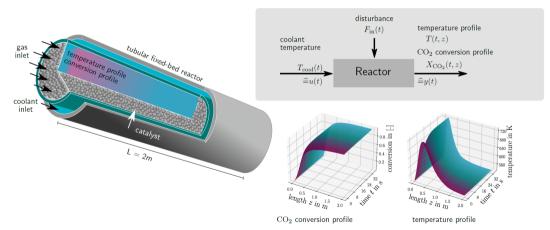
- · one- & two-dimensional model
- · parameters fitted to experimental data
- · discretized via finite volume method
- integrated by use of Kvaerno5 solver (within the diffrax library)

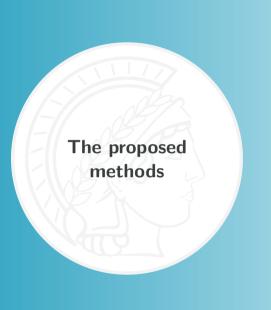
#### challenges

- · nested parameter dependencies
- non-linearities → high disturbance sensitivity
- dynamics on different scales  $\rightarrow$  stiff
- model solutions involve many states (after semi-discretization)



• Reaction kinetics and heat transfer parameters are calibrated against steady-state experimental data from a pilot plant.







# SciML and surrogate modeling through stable Operator Inference (OpInf) \* and GN-SINDy \*

- The results presented in what follows were published this year in the IEEE Transactions on Automation Science and Engineering (free copy available on TechRxiv):
  - L. Peterson et al., Towards Digital Twins for Power-to-X: Comparing Surrogate Models for a Catalytic  $CO_2$  Methanation Reactor, 2025





- \* P. Goyal, I. Pontes Duff, P. Benner, Guaranteed Stable Quadratic Models and their applications in SINDy and Operator Inference, Physica D: Nonlinear Phenomena, Vol. 483, pp. 134893, 2025.
- \* A. Forootani, P. Benner, GN-SINDy: Greedy Sampling Neural Network in Sparse Identification of Nonlinear Partial Differential Equations, arXiv:2405.08613, 2024.

Peherstorfer & Willcox, Comp. Meth. Appl. Mech. Eng. (2016); Goyal et al., Phys. D (2025)

1

#### principal component analysis + projection

a) PCA via SVD

 $\begin{array}{ccc}
Q & U_{r} \\
n \times d & n \times r
\end{array}$ 

 $\begin{array}{c} \mathbf{\Sigma}_{\mathbf{r}} \\ r \times r \end{array} \qquad \begin{array}{c} \mathbf{V}_{\mathbf{r}}^T \\ r \times d \end{array}$ 

 $egin{array}{ccc} oldsymbol{\Sigma} & oldsymbol{V}^T \\ n imes d & d imes d \end{array}$ 

b) projection on low dimensional basis  $\,$ 

$$\begin{array}{c|c} \mathbf{Q} & \cdot \boldsymbol{U}_{r}^{T} & \mathbf{\hat{Q}} & \cdot \boldsymbol{U}_{r} & \mathbf{\tilde{Q}} \\ \mathbf{\hat{Q}} & \mathbf{\hat{Q}} & \mathbf{\hat{Q}} & \mathbf{\tilde{Q}} \\ & \text{compress} & \text{decompress} \end{array}$$

2

#### low-dimensional regression

a) define reduced-order model structure

H

 $n \times n$ 

$$\dot{\widehat{q}} = \widehat{A}\widehat{q} + \widehat{H}(\widehat{q} \otimes \widehat{q}) + \widehat{B}u$$

b) get reduced operators

$$\min_{\hat{\mathbf{A}}\hat{\mathbf{B}}} \left\| \hat{\mathbf{Q}}^T \hat{\mathbf{A}}^T + (\hat{\mathbf{Q}} \odot \hat{\mathbf{Q}})^T \hat{\mathbf{H}}^T + \mathbf{U}^T \hat{\mathbf{B}}^T - \dot{\mathbf{Q}}^T \right\|_F^2$$

#### solve with gradient-based optimization

stable OpInf: choose a parameterization of  $\widehat{\mathbf{A}}$  and  $\widehat{\mathbf{H}},$  such that the inferred models are stable by design

#### Stable OpInf II: more details in [Goyal et al. '25], [Gkimisis et al. '25]

Asymptotic (exponential, Lyapunov) stability of linear systems

$$\dot{x}(t) = Ax(t), \qquad x(0) = x_0,$$

can be explicitly parameterized:

#### Theorem (Gillis/Sharma 2017)

A matrix  $A \in \mathbb{R}^{n \times n}$  is asymptotically stable (Hurwitz, Lyapunov stable) if and only if it can be represented as

$$A = (J - R)Q,$$

where  $J=-J^T$  and  $R=R^T$ ,  $Q=Q^T$  are both positive definite.

⇒ Stability-preserving OpInf for linear systems:

$$(S_*, L_*, K_*) := \underset{\text{with positive diagonals}}{\operatorname{argmin}} L_{K,K} \underset{\text{with positive diagonals}}{\operatorname{upper triangular}} \left( ||\dot{X} - (S - S^T - L^T L)K^T KX||_F^2 + \mathcal{R}(L, K, S) \right)$$

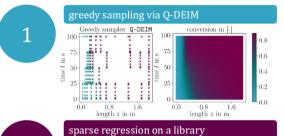
The matrix obtained from this nonlinear (regularized) least-squares problem,

$$A_* = \left( S_* - S_*^T - L_*^T L_* \right) K_*^T K_*,$$

is guaranteed to be stable due to [GILLIS/SHARMA 2017]. Related work by Schwerdtner, Voigt, . . .

### Reduced-Order Model: Greedy Sparse Identification

Brunton et al., Proc. Natl. Acad. Sci. (2016), Forootani & Benner, arXiv (2024)



- POD reduced construct approximation of the snapshot matrix
- DECOMPOSITION with column pivoting to select key time and space indices from reduced matrices.

 $\mathcal{L} = MSE(u, \hat{u})$ 

+MSE 
$$(\hat{u}_t, \Theta \ \hat{\xi})$$
 +  $L_1(\vec{\xi})$ 

Auto. Diff.
Include in  $\mathcal{L}$ 
 $(z,t) \longrightarrow \hat{u} \in \{\hat{X}, \hat{T}\}$ 

$$\hat{u}_t = \Theta \vec{\xi}$$

$$\begin{bmatrix} \hat{u}_t &= \Theta \vec{\xi} \\ \vdots & \vdots & \vdots \\ \hat{u}_n & \hat{u}_n & \dots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \text{Library} \end{bmatrix} \xrightarrow{z_n} \begin{bmatrix} \hat{u}_t & \vdots & \vdots \\ \hat{u}_t & \vdots & \vdots \\ \vdots & \vdots & \vdots \\ \end{bmatrix} \xrightarrow{\text{Normalize}}$$



#### Steps (a) and (b)

#### The GN-SINDy approach introduces several **improvements to standard SINDy**:

- In step (a) of GN-SINDy, the Q-DEIM algorithm is used to sample the data set.
- Q-DEIM first applies the SVD to extract dominant features by retaining singular values above a precision threshold  $\epsilon_{\text{tresh}}$  (linked to DEIM\_tolerance), then uses QR decomposition with pivoting to select key spatio-temporal indices.
- This sensor placement strategy efficiently balances the trade-off between preserving essential dynamics and computational cost.
- In step (b), sample pairs  $(t_i, x_i)$  from Q-DEIM are entered into a DNN.
- The DNN learns the nonlinear mapping  $(t,x)\mapsto u$  and the underlying physics via  $\frac{\partial \mathbf{u}}{\partial t}=\mathbf{\theta}\boldsymbol{\xi}$ .
- The output  $\hat{u}$  of the DNN serves as a function approximation used to construct the dictionary  $\Theta$  and compute  $\frac{\partial \hat{u}}{\partial t}$  by automatic differentiation.

#### Steps (c) and (d)

- Step (c) estimates  $\xi$  via stochastic gradient descent to minimize the loss function.
- The loss function for training the DNN comprises of a MSE component to capture the mapping  $(t,x) \to \hat{\mathbf{u}}$ , and an additional term to enforce constraints on the DNN solutions:

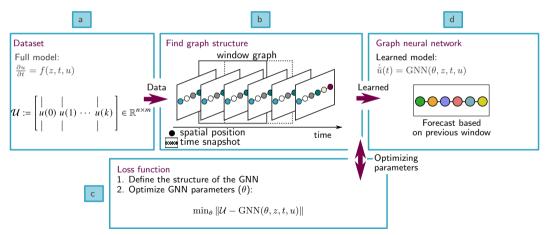
$$\mathcal{L} = \frac{1}{\mathcal{N}} \sum_{i=1}^{\mathcal{N}} \left( \mathbf{u}(t_i, x_i) - \hat{\mathbf{u}}(t_i, x_i) \right)^2 + \frac{1}{\mathcal{N}} \sum_{i=1}^{\mathcal{N}} \left( \frac{\partial \hat{\mathbf{u}}(t_i, x_i)}{\partial t_i} - \mathbf{\Theta} (\hat{\mathbf{u}}(t_i, x_i)) (\boldsymbol{\xi} \odot \boldsymbol{g}) \right)^2.$$

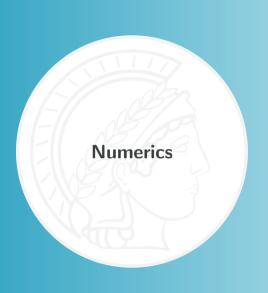
- $(t_i, x_i)$  are the selected sample pairs in the domain,  $u(t_i, x_i)$  is calculated using the Q-DEIM algorithm applied to the snapshot matrix  $\mathcal{U}$  and g is the sparsity mask.
- The DNN is constrained by the element-wise multiplication of  $\xi$  and g, not by  $\xi$  alone.
- In step (d), the learned coefficient vector yields a model explaining the original data set.
- → see also the work in [Forootani et al. '24] (arXiv:2405.08613).



#### Comparison method: GNN (GAT)

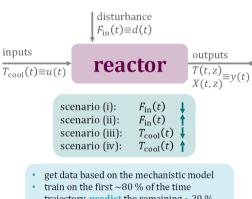
■ GATs use attention mechanisms to assign adaptive weights to neighbors based on their relevance, making them effective for tasks where the importance of neighboring nodes varies significantly [Veličković et al. '18];



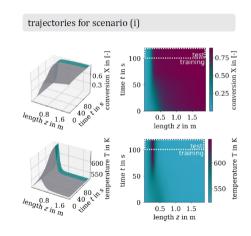


### Comparative Analysis - Study Design

Peterson et al., IEEE Trans. Autom. Sci. Eng. (2025)



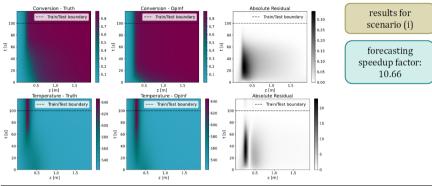
- trajectory, predict the remaining ~20 %
- run each model 10x for each scenario



#### **OpInf** results

## Operator Inference - Results

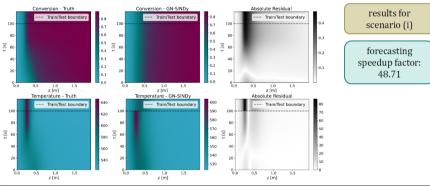
Peterson et al., IEEE Trans. Autom. Sci. Eng. (2025)



| test (i)             | test (ii)            | test (iii)          | test (iv)               | training time              | forecasting<br>time        | input<br>parameter | model<br>parameter |
|----------------------|----------------------|---------------------|-------------------------|----------------------------|----------------------------|--------------------|--------------------|
| $0.067 \pm 0.046 \%$ | $0.075~\pm~0.059~\%$ | $0.25~\pm~0.010~\%$ | $0.30 \;\pm\; 0.018 \%$ | $2816 \pm 366  \mathrm{s}$ | $0.64~\pm~0.15~\mathrm{s}$ | 6                  | 42                 |

## Greedy Sparse Identification - Results

Peterson et al., IEEE Trans. Autom. Sci. Eng. (2025)

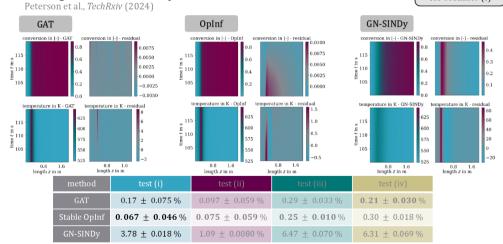


| test (i)            | test (ii)                  | test (iii)          | test (iv)           | training time | forecasting<br>time      | input<br>parameter | model<br>parameter |
|---------------------|----------------------------|---------------------|---------------------|---------------|--------------------------|--------------------|--------------------|
| $3.78~\pm~0.018~\%$ | $1.09 \;\pm\; 0.0080 \;\%$ | $6.47~\pm~0.070~\%$ | $6.31~\pm~0.069~\%$ | 96 ± 49 s     | $0.14~\pm~0.012~{\rm s}$ | 792 – 1438         | 13186              |

#### Comparison: part I

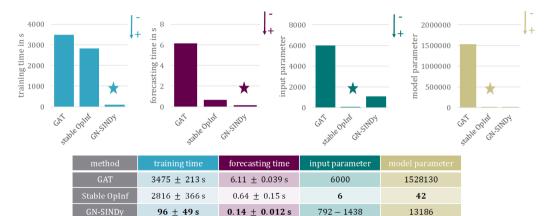
## Comparative Analysis – Prediction Error

prediction results for scenario (i)



## Comparative Analysis - Complexity

Peterson et al., TechRxiv (2024)





### Summary & Outlook

#### why Digital Twin?

- concept that bi-directionally links a physical entity to a model
- goal: enable optimal control for PtX
- real-time model execution needed

#### what we did

- compared three surrogate models:
  - Spatio-Temporal Neural Networks (ST-NN),
  - Greedy Sparse Identification (GN-SINDy),
  - Operator Inference (OpInf)
- focus: speed, accuracy, and generalizability



#### next steps

- closed-loop control integration
- Analysis of other ROM methods
- Full **Digital Twin** implementation

Special thanks also to Pawan Goyal (appliedAl Initiative GmbH), Jens Bremer (TU Clausthal), Ronny Zimmermann & Alexander Geschke (MPI, PSE), Miriam Büttner (TU Berlin) . . .



#### **Extensive survey published**

Our recent survey paper (one of the first **Digital Twin** survey in **Process Engineering**), addresses the following relevant topics\*:

- Guidance for practitioners: Providing guidance to researchers and practitioners in Process
   Engineering and chemical engineering fields.
- Real-time representation: Addressing real-time representation challenges in Digital Twin development and offering practical solutions.
- Numerical methods review: Comprehensive review of state-of-the-art numerical methods and tools for constructing Digital Twins, with a focus on applications in Process Engineering.
- Unlocking potential: Highlighting the transformative potential of Digital Twins in Process Engineering and offering insights into essential mathematical tools to be harnessed.

<sup>\*</sup> L. Peterson, I. V. G., P. Benner and K. Sundmacher: *Digital twins in process engineering: An overview on computational and numerical methods*, Computers & Chemical Engineering, Vol. 193, pp. 108917, February, 2025.



#### The team behind it



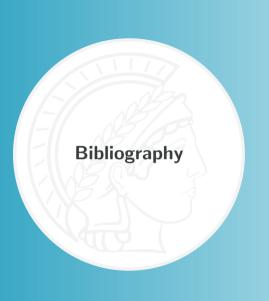
Thank you for your attention!



Peterson et al., IEEE Trans. Autom. Sci. Eng. (2025)



Peterson et al., Comput. Chem. Eng. (2025)





#### Selected references



Peterson, L., Büttner, M., Forootani, A., Gosea, I. V., Benner, P. and Sundmacher, K.: Greedy Sampling Neural Network SINDy with Control for a Catalytic CO2 Methanation Reactor, LSSC Proceedings, (2025).



Forootani, A. and Benner, P.: GN-SINDy: Greedy Sampling Neural Network in Sparse Identification of Nonlinear Partial Differential Equations, arXiv:2405.08613, (2024).



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Zimmermann, R. T., Bremer, J. and Sundmacher, K.: Load-flexible fixed-bed reactors by multi-period design optimization, Chemical Engineering Journal, 428, 130771, (2022).



Peterson L., Gosea, I. V., Benner, P. and Sundmacher, K.: Digital twins in process engineering: An overview on computational and numerical methods, Computers & Chemical Engineering, Vol. 183, Elsevier, (2025).



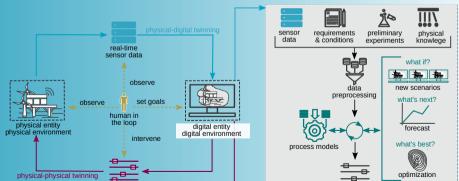
Forootani, A., Kapadia, H., Chellappa, S., Goyal, P., Benner, P.: GN-SINDy: Greedy sampling neural network in sparse identification of nonlinear partial differential equations, arXiv:2405.08613, (2024).

# Thank you! Any questions?

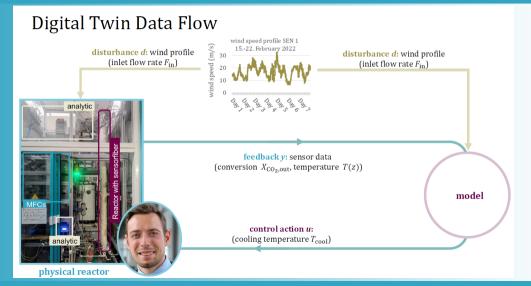


#### The twinning scenario

- Real-time sensor data and physical models of an existing chemical plant (the physical entity), are used to represent its current state as a virtual entity.
- This virtual entity can provide several benefits, e.g., scenario exploration, prediction, and optimization.
- The virtual and physical entities are thus connected in real time through bi-directional data exchange.







The energy balance equation describes the energy distribution in the reactor, taking into account heat conduction, convective heat transport, heat exchange with the environment.

$$(\rho c_p)_{\text{eff}} \frac{\partial T}{\partial t} = -u_{\text{in}} \rho_{\text{in}} c_p \frac{\partial T}{\partial z} + \frac{\partial}{\partial z} \left[ \Lambda_z \frac{\partial T}{\partial z} \right] - \frac{4U}{D} \left( T - T_{\text{cool}} \right) - \Delta H_r \left( 1 - \varepsilon_R \right) \zeta \sigma_{\text{eff}}$$

- **Each term represents a specific aspect of heat transport and heat reaction in the reactor:** 
  - 1.  $(\rho c_p)_{\text{eff}} \frac{\partial T}{\partial t}$ : indicates how quickly the temperature in the reactor changes over time. It depends on the reactor's effective heat capacity, which is determined by the reactor's material.
  - 2.  $-u_{\rm in} \, \rho_{\rm in} c_p \, \frac{\partial T}{\partial z}$ : reflects the influence of convective heat transport along the reactor axis.
  - 3.  $\frac{4U}{D}(T-T_{\rm cool})$ : represents the heat loss due to heat transfer at the wall of the reactor.
  - 4.  $-H_{\rm r}\left(1-arepsilon_R\right)$   $\zeta$   $\sigma_{\rm eff}$ : takes into account the heat released/absorbed due to the chemical reaction.



The resulting mass balance equation for the conversion of CO<sub>2</sub> is:

$$\varepsilon_R \frac{\partial X}{\partial t} = -u \frac{\partial X}{\partial z} + \frac{M_{\text{CO}_2}}{\rho y_{\text{CO}_2, \text{in}}} (1 - \varepsilon_R) \zeta \sigma_{\text{eff}}$$
(1)

with the following terms:

- 1.  $\varepsilon_R \frac{\partial X}{\partial t}$ : reflects how quickly the conversion of CO<sub>2</sub> in the reactor changes over time.
- 2.  $-u \frac{\partial X}{\partial z}$ : describes the influence of the flow velocity on the spatial distribution of the  $CO_2$  conversion in the reactor (convective transport in the z-direction).
- 3.  $\frac{M_{\rm CO_2}}{\rho \, y_{\rm CO_2,in}} \, (1 \varepsilon_R) \, \zeta \, \sigma_{\rm eff}$ : encompasses the chemical reaction itself, where  $\sigma_{\rm eff}$  represents the effective reaction rate.



Taking into account the conversion law for mass and energy, for any control volume of different shape and size within the reactor, the following basic set of equilibrium equations (Euler specification) applies:

Totale Masse 
$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho \vec{u})$$
 (2)

**Komponenten Masse** 
$$\rho \frac{\partial \omega_{\alpha}}{\partial t} = -\rho \vec{u} \nabla \cdot \omega_{\alpha} - \nabla \cdot \vec{j_{\alpha}} + M_{\alpha} \sum_{\beta} \nu_{\alpha,\beta} \tilde{r_{\beta}}$$
 (3)

Energie 
$$\rho c_p \frac{\partial T}{\partial t} = -\rho c_p \vec{u} \nabla T - \nabla \cdot \vec{q} - \sum_{\beta} \nu_{\alpha,\beta} \left( \Delta_R \tilde{H}_{\beta} \right) \tilde{r}_{\beta}$$
 (4)

When considering a single reaction, the mass balance equation of the components  $CO_2$ ,  $H_2$ ,  $CH_4$ ,  $H_2O$  and  $N_2$  can be summarized in a single equation by using the carbon dioxide conversion  $X_{CO_2}$ :

$$\dot{n}_i = \dot{n}_{i,\text{in}} + \nu_i X_{\text{CO}_2} \dot{n}_{\text{CO}_2,\text{in}} \tag{5}$$